

06-27-05

IFW

AMSTER  
ROTHSTEIN  
& EBENSTEIN LLP

Intellectual Property Law

90 Park Avenue  
New York NY 10016

Main 212 336 8000  
Fax 212 336 8001  
Web www.arelaw.com



Partners

Morton Amster  
Jesse Rothstein (1934 - 2003)  
Daniel Ebenstein  
Philip H. Gottfried  
Michael J. Berger  
Neil M. Zipkin  
Anthony F. Lo Cicero  
Kenneth P. George  
Abraham Kasdan, Ph.D.  
Ira E. Silfin  
Chester Rothstein  
Craig J. Arnold  
Kenneth M. Bernstein

Senior Counsel

Charles R. Macedo  
Mark J. Rosenberg  
Nancy M. Dodderidge  
Joseph M. Casino

Associates

Neal L. Rosenberg  
Patrick Boland\*  
John S. Economou  
Michael V. Solomita  
Holly Pekowsky  
Michael P. Kenney  
Marion P. Metelski

Max Vern

Dana R. Metes  
Karl J. Kolbinger  
Brian A. Comack  
Richard S. Mandaro  
Marc J. Jason  
Elie H. Gendloff, Ph.D.  
David Mitnick  
Charles W. Rath  
David A. Boag  
Karen J. Bernstein  
Matthieu Hausig  
Jung S. Hahn  
Reiko Kaji

Alan D. Miller, Ph.D.

Hsin-Hsin (Ginger) Liu  
John A. Lepore  
Norajean McCaffrey  
Benjamin M. Halpern\*  
Matthew A. Fox  
Michael J. Kasdan  
Sasha B. Rieders  
Rebecca R. Eisenberg

\*Not admitted in New York

June 24, 2005

Via Express Mail

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Alan D. Miller  
Direct 212 336 8094  
E-mail amiller@arelaw.com

"Express Mail" mailing label No.	EL 994274748 US
Date of Deposit:	June 24, 2005
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Name:	Alan D. Miller
Signature:	

Re: U.S. Patent Application No. 10,741,496  
Title: ACTIVATED POLYETHYLENE GLYCOL COMPOUNDS  
Inventors: Paul K. Smith  
Our File: 96700/1004

Dear Sir:

Enclosed please find the following documents for filing with the above-identified utility patent application in the name of Paul K. Smith, entitled "ACTIVATED POLYETHYLENE GLYCOL COMPOUNDS," including the following:

1. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address (Form PTO/SB/82) (1 page);
2. Statement Under 37 CFR 3.73(b) (Form PTO/SB/96) (1 page);
3. Assignment (2 pages); and
4. a return receipt postcard.

June 24, 2005

A copy of the enclosed Assignment was submitted for recordation with the Assignment Recordation Services of the U.S. Patent Office on June 20, 2005.

Please acknowledge receipt of the enclosed papers by stamping the enclosed postcard and returning the same to us.

No fee is deemed necessary with the submission of this communication. However, if any fee is required to maintain pendency of the subject application, the Patent Office is authorized to withdraw the amount of any such fee from Deposit Account 01-1785.

Very truly yours,

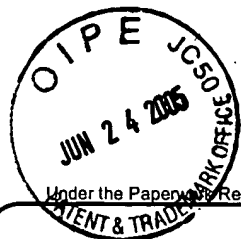
AMSTER, ROTHSTEIN & EBENSTEIN LLP  
Attorneys for Applicant  
90 Park Avenue  
New York, New York 10016  
(212) 336-8000

Dated: June 24, 2005  
New York, New York

By: 

Alan D. Miller  
Registration No. 42,889

ADM/rf  
Enclosures



PTO/SB/82 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/741,496
Filing Date	December 19, 2003
First Named Inventor	Paul K. Smith
Art Unit	2812
Examiner Name	Not yet assigned
Attorney Docket Number	96700/1004

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

1912

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

1912

OR

☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name Emanuel Genn

Signature

Date

6/20/05

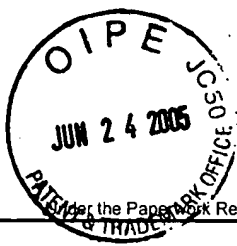
Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Paul K. Smith

Application No./Patent No.: 10/741,496 Filed/Issue Date: December 19, 2003

Entitled: ACTIVATED POLYETHYLENE GLYCOL COMPOUNDS

Albert Einstein College of Medicine of Yeshiva University, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6/20/05  
Date

\_\_\_\_\_  
Telephone number

Emanuel Cenn  
Typed or printed name

[Signature]  
Signature

Associate Dean of Business Affairs  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Docket No. 96700/1004  
Application No. 10/741,496  
Docket No. 96700/1005  
Application No. 10/741,767  
Docket No. 96700/1006  
U.S. Patent No. 6,737,524

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,

**ASSIGNOR:**

- (1) Full Name of Inventor: Paul K. Smith  
Residence: 6807 Kinnikinnick Drive, Roscoe, Illinois 61073  
Citizenship: USA

---

hereby sells, assigns, and transfers to ASSIGNEE:

Albert Einstein College of Medicine of Yeshiva University  
1300 Morris Park Avenue  
Bronx, NY 10461

and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title, and interest for the United States and its territorial possessions, and in all foreign countries and regions, including all rights to claim priority, in and to the invention entitled:

### **ACTIVATED POLYETHYLENE GLYCOL COMPOUNDS**

that was invented by ASSIGNOR, and which is found in U.S. Utility Patent Application No. 10/741,767, filed on December 19, 2003, U.S. Utility Patent Application No. 10/741,496, filed on December 19, 2003, and U.S. Utility Patent Application No. 10/105,644, filed on March 25, 2002, now U.S. Patent No. 6,737,524, and any legal equivalent thereof in any foreign country or region, including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application, or any continuation, divisional, renewal, or substitute thereof, and, as to Letters Patent, any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement, or encumbrance has been, or will be, made or entered into which would conflict with this Assignment.

Docket No. 96700/1004  
Application No. 10/741,496  
Docket No. 96700/1005  
Application No. 10/741,767  
Docket No. 96700/1006  
U.S. Patent No. 6,737,524

WITNESS my hand and seal on:

*[Signature]*

Paul K. Smith

*6/14/05*

Date

ACKNOWLEDGMENT

State of IL

}

ss:

County of Winnebago

}

On the 14th day of June, 2005, Paul K. Smith personally appeared before me, known by me to be the same person described in, and who executed, the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

*[Signature]*

Notary Public

